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SERIAL NUMBER 10/655,409	FILING OR 371(c) DATE 09/04/2003 RULE	CLASS 705	GROUP ART UNIT 3692	ATTORNEY DOCKET NO.
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APPLICANTS
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**** CONTINUING DATA *******
 This application is a CON of 09/465,607 12/17/1999
 which is a CON of 09/184,571 11/02/1998 PAT 6,505,174
 which is a CIP of 08/620,906 03/25/1996 PAT 5,950,176

**** FOREIGN APPLICATIONS *******

IF REQUIRED, FOREIGN FILING LICENSE GRANTED SMALL ENTITY ****
 ** 11/28/2003

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 4	TOTAL CLAIMS 77	INDEPENDENT CLAIMS 6
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

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TITLE
 Computer-implemented securities trading system with a virtual specialist function

FILING FEE RECEIVED 1014	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
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